

1  
2  
3  
4 UNITED STATES DISTRICT COURT  
5 DISTRICT OF NEVADA

6 \* \* \*

7 DWIGHT McINTYRE,

8 Plaintiff,

9 v.

10 NAPHCARE, INC., et al.,

11 Defendants.

Case No. 2:16-cv-02107-JCM-PAL

**REPORT OF FINDINGS AND  
RECOMMENDATION**

(Req. Waive Service – ECF No. 17)

12 This matter is before the court on Plaintiff Dwight McIntyre's failure to serve the  
13 defendants and Request for Waiver of Service (ECF No. 17). This Request is referred to the  
14 undersigned pursuant to 28 U.S.C. § 636(b)(1)(B) and LR IB 1-4 of the Local Rules of Practice.

15 **BACKGROUND**

16 Mr. McIntyre is a prisoner in the custody of the Nevada Department of Corrections  
17 ("NDOC") at the Southern Desert Correctional Center. He is proceeding in this action *pro se* and  
18 *in forma pauperis* ("IFP"). This case arises from McIntyre's allegations, pursuant to 42 U.S.C.  
19 § 1983, that defendants violated his civil rights. Mr. McIntyre commenced this action on  
20 September 6, 2016, by filing an IFP Application (ECF No. 1) and proposed complaint. Upon  
21 review of the complaint, the court determined that it stated one plausible claim for deliberate  
22 indifference to serious medical need in violation of the Eighth Amendment against defendants Drs.  
23 Frank Ryan, Brian Poirier, and Ted Hanf. Screening Order (ECF No. 4); Complaint (ECF No. 5).

24 The court stayed the case for 90 days to allow the parties an opportunity to settle their  
25 dispute through the Inmate Early Mediation Program before the filing of an answer or starting the  
26 discovery process. Aug. 24, 2017 Order (ECF No. 6). The court directed electronic service of the  
27 complaint on the Nevada Office of the Attorney General ("Attorney General") and instructed that  
28 the Attorney General advise the court whether it would enter a limited notice of appearance of

1   behalf of the defendants for the purpose of settlement. *Id.* The Attorney General notified the court  
2   and Mr. McIntyre that no current employees of NDOC were named as defendants and the Attorney  
3   General was not authorized to enter a limited notice of appearance in this matter. Sept. 12, 2017  
4   Notice (ECF No. 7).

5           In light of the Attorney General’s notice, the court ordered the Attorney General to send  
6   letters to Drs. Ryan, Poirier, and Hanf at their last known addresses informing them that they are  
7   defendants in this lawsuit and asking whether the doctors wanted the Attorney General to represent  
8   them in this case. Sept. 26, 2018 Order (ECF No. 8). The court further ordered the Attorney  
9   General to file an updated notice informing the court whether it will be entering a limited notice  
10   of appearance on behalf of the doctors for the purpose of settlement based on any response to the  
11   letters. *Id.* If no limited appearance would be made, the court stated it would (1) remove this case  
12   from the early inmate mediation program, (2) rule on the IFP application, and (3) begin the service  
13   process with the U.S. Marshal Service (“USM”). *Id.*

14           On September 27, 2017, the Attorney General informed the court that it sent a letter  
15   regarding this lawsuit to Dr. Hanf, a former NDOC medical provider, at his last known address.  
16   Notice (ECF No. 9). The correspondence was returned to the Attorney General “not deliverable  
17   as addressed, unable to forward.” *Id.* Counsel also advised the court he had contacted NDOC  
18   staff regarding Drs. Ryan or Poirier and was informed that neither of the doctors had a current or  
19   former employment relationship with NDOC. *Id.* Thus, the Attorney General did not have last  
20   known contact information for Drs. Ryan or Poirier. *Id.*

21           On October 17, 2017, the court entered an Order (ECF No. 10) (“Service Order”) removing  
22   this case from the inmate mediation program and returning the case to the normal litigation track.  
23   As such, the court ordered that “*service must be perfected within ninety (90) days from the date of*  
24   *this order* pursuant to Fed. R. Civ. P. 4(m).” *Id.* at 2:6–7 (emphasis added).<sup>1</sup> Summons were  
25   issued for Defendants Ryan, Poirier, and Hanf and delivered to the USM for service. The Clerk  
26   of Court was instructed to send Mr. McIntyre three USM-285 forms. McIntyre was ordered to  
27   mail the USM the required USM-285 forms with the relevant information as to each defendant on

---

28   <sup>1</sup> Based on the October 17th entry date, January 16, 2018, was the service deadline.

1 each form. Within 20 days after receiving the process receipt and return portion of the USM-285  
2 forms from the USM showing whether service has been accomplished, McIntyre was ordered to  
3 file a notice with the court identifying which defendant(s) were served and which were not served,  
4 if any. Mr. McIntyre was specifically ordered, if any service attempt was unsuccessful and he  
5 “wishes to have service again attempted on an unserved Defendant(s), *then a motion must be filed*  
6 *with the Court identifying the unserved Defendant(s) and specifying a more detailed name and/or*  
7 *address for said Defendant(s), or whether some other manner of service should be attempted.” Id.*  
8 at 2:17–20 (emphasis added). Lastly, the Service Order instructed the Attorney General to file  
9 under seal “the last known address(es) of those Defendant(s) for whom it has such information.”  
10 *Id.* at 2:22–23.

11 On October 23, 2017, the Attorney General filed under seal the last known address for Dr.  
12 Hanf. Sealed Notice (ECF No. 12). The Attorney General provided notice to Mr. McIntyre of the  
13 sealed submission and further informed him that it had no information to submit concerning the  
14 last known addresses of Drs. Frank Ryan or Brian Poirier. Notice (ECF No. 13).

15 After the Service Order was entered, this case stayed dormant for 111 days. Because Mr.  
16 McIntyre did not timely file proof of service, the clerk’s office issued a standard notice of intent  
17 to dismiss pursuant to Rule 4(m) of the Federal Rules of Civil Procedure on February 6, 2018.<sup>2</sup>  
18 Notice (ECF No. 14) (“4(m) Notice”). The 4(m) Notice stated that the case may be dismissed  
19 without prejudice as to defendants Ryan, Poirier, and Hanf unless proof of service was filed with  
20 the clerk by March 8, 2018. The 4(m) Notice further informed McIntyre that service must have  
21 taken place prior to the expiration of the 90-day time limit, or good cause must be shown as to why  
22 such service was not made in that period. Mr. McIntyre was warned: “Failure to comply with this  
23 notice may result in dismissal of the action without prejudice as to said parties.” *Id.*

24 Mr. McIntyre filed a Response (ECF No. 15) to the 4(m) Notice on February 14, 2018,  
25 stating that he had incorrectly mailed the USM-285 forms to the court in November 2017 “with  
26 the requested information to serve all named defendants,” rather than the USM. Thus, he would  
27 send the USM the USM-285 forms to serve all named defendants.

---

28 <sup>2</sup> All references to a “Rule” or “Rules” in this Order refer to the Federal Rules of Civil Procedure.

1 On March 16, 2018, summons were returned unexecuted for Dr. Hanf. ECF No. 16. Using  
2 the last known address the Attorney General submitted under seal for Dr. Hanf, the USM was  
3 unable to complete service of process. Notice of the summons returned unexecuted was served on  
4 Mr. McIntyre at the Southern Desert Correctional Center via the U.S. Postal Service.

5 Thereafter, this case went dormant for nearly seven more months. On October 15, 2108,  
6 McIntyre filed a Request for Waiver of Service (ECF No. 17). Mr. McIntyre states that he has  
7 been unable to complete service of the named defendants through the USM because of the  
8 confidentiality of the defendants' residence or place of work. He states he has completed a  
9 "summons and service to Napecare's (sic) counsel of records, who has received and returned  
10 'certified mail'," and he attaches a certified mail receipt to Alverson Taylor Mortensen and  
11 Sanders. McIntyre requests unspecified "assistance from this Court" or "notice of waiver of  
12 service."

## 13 **DISCUSSION**

### 14 **I. LEGAL STANDARDS**

15 Although pro se parties are generally held to less stringent standards, "pro se litigants in  
16 the ordinary civil case should not be treated more favorably than parties with attorneys of record."  
17 *Jacobsen v. Filler*, 790 F.2d 1362, 1364 (9th Cir. 1986). It is a plaintiff's responsibility to move  
18 the case toward disposition on the merits, *In re Exxon Valdez*, 102 F.3d 429, 433 (9th Cir. 1996),  
19 and a pro se litigant must follow the same rules of procedure that govern other litigants. *King v.*  
20 *Atiyeh*, 814 F.2d 565, 567 (9th Cir. 1986); *Ghazali v. Moran*, 46 F.3d 52, 54 (9th Cir. 1995) (noting  
21 that "*pro se* litigants are bound by the rules of procedure").

22 Rule 4 governs service of process. "Service of process" is a formal delivery of documents  
23 that is legally sufficient give a defendant notice of a pending action. *R. Griggs Group Ltd. v.*  
24 *Filanto Spa*, 920 F. Supp. 1100, 1103 (D. Nev. 1996) (citing *Volkswagenwerk Aktiengesellschaft*  
25 *v. Schlunk*, 486 U.S. 694 (1988)); Charles A. Wright & Arthur R. Miller, 4A *Federal Practice &*  
26 *Procedure, Civil* § 1094 (4th ed. 2015) (noting that the purpose of service is to give a defendant  
27 notice of the proceedings). Federal courts lack personal jurisdiction over a defendant unless the  
28 defendant has been properly served in accordance with Rule 4. *Crowley v. Bannister*, 734 F.3d

1 967, 974–75 (9th Cir. 2013). Thus, strict compliance with the rules governing manner of service  
2 is required. *Murphy Bros., Inc. v. Michetti Pipe Stringing, Inc.*, 526 U.S. 344, 347 (1999).

3 Rule 4 states that a defendant must be served within 90 days after a complaint is filed. Fed.  
4 R. Civ. P. 4(m). A court may dismiss an action without prejudice if the summons and complaint  
5 are not timely served on a defendant. *Id.*; see also *Efaw v. Williams*, 473 F.3d 1038, 1041 (9th Cir.  
6 2007). However, Rule 4(m) requires the court to extend the time for service if a plaintiff shows  
7 good cause for the failure to timely serve. *Id.* As a general matter, a showing of good cause  
8 requires more than simple inadvertence, mistake, or ignorance of the procedural rules. *Martin v.*  
9 *Longbeach*, 246 F.3d 674 (9th Cir. 2000). “At a minimum, good cause means excusable neglect.”  
10 *Id.* “‘Ignorance of court rules does not constitute excusable neglect, even if the litigant appears  
11 pro se.’” *Kocsis v. Delta Air Lines, Inc.*, 963 F. Supp. 2d 1002, 1011 (D. Haw. 2013) (quoting  
12 *Swimmer v. IRS*, 811 F.2d 1343, 1345 (9th Cir. 1987)). A court has “broad discretion” to extend  
13 the time for service under Rule 4(m). See *Efaw*, 473 F.3d at 1040–41; *United States v. 2,164*  
14 *Watches, More or Less, Bearing a Registered Trademark of Guess?, Inc.*, 366 F.3d 767, 773 (9th  
15 Cir. 2004). A district court also has inherent power to dismiss an action for failure to prosecute.  
16 *Link v. Wabash R.R. Co.*, 370 U.S. 626, 629–30 (1962); *Lal v. California*, 610 F.3d 518, 524 (9th  
17 Cir. 2010); Fed. R. Civ. P. 41(b).

18 Rule 4 sets out the acceptable methods for effecting service on different types of parties or  
19 the applicable provisions of state law. *Gottschalk v. City & Cty. of San Francisco*, 964 F. Supp.  
20 2d 1147, 1165 (N.D. Cal. 2013). For an individual defendant, Rule 4 requires a plaintiff to serve  
21 the summons and complaint on by one of three methods:

22 (A) delivering a copy of the summons and of the complaint to the individual  
23 personally; (B) leaving a copy of each at the individual’s dwelling or usual place of  
24 abode with someone of suitable age and discretion who resides there; or (C)  
delivering a copy of each to an agent authorized by appointment or by law to receive  
service of process.

25 Fed. R. Civ. P. 4(e)(2). Nevada law requires the same. See Nev. R. Civ. P. 4(d)(6).

26 In cases involving an incarcerated pro se plaintiff proceeding IFP, the USM shall serve the  
27 summons and the complaint upon order of the court. Fed. R. Civ. P. 4(c)(3); 28 U.S.C. § 1915(d).  
28 An incarcerated plaintiff is entitled to rely on the USM for service of the summons and complaint

1 and “should not be penalized by having his action dismissed for failure to effect service” if the  
2 USM or the court clerk fail to perform their duties. *Puett v. Blanford*, 912 F.2d 270, 275 (9th Cir.  
3 1990). However, it is the plaintiff’s responsibility to provide the USM with the information  
4 necessary to identify each defendant to be served. *See Walker v. Sumner*, 14 F.3d 1415, 1422 (9th  
5 Cir. 1994), *abrogated on other grounds by Sandin v. Conner*, 515 U.S. 472 (1995). “So long as  
6 the prisoner has furnished the information necessary to identify the defendant, the marshal’s failure  
7 to effect service is ‘automatically good cause’” for an extension of the service deadline. *Walker*,  
8 14 F.3d at 1422 (quoting *Sellers v. United States*, 902 F.2d 598, 603 (7th Cir. 1990)). Thus,  
9 although an incarcerated plaintiff is entitled to rely on the USM for service of the summons and  
10 complaint, that reliance is only proper when he has provided the USM with accurate and adequate  
11 information to complete service. *Puett*, 912 F.2d at 275; *Walker*, 14 F.3d at 1421–22.

12 To obtain the information required to serve a defendant, a plaintiff may use whatever  
13 resources and means are available to him, including but not limited to: (1) contacting persons who  
14 a plaintiff believes were witnesses to the alleged incident that forms the basis the action;  
15 (2) contacting a party’s counsel or previous counsel; (3) obtaining from the appropriate source(s)  
16 copies of jail records concerning the alleged incident, such as any records of a plaintiff’s  
17 administrative grievance or medical records; and/or (4) utilizing the subpoena procedure  
18 authorized by Rule 45.

## 19 **II. ANALYSIS**

20 In this case, Mr. McIntyre has not completed service of the summons and complaint to  
21 defendants Ryan, Poirier, or Hanf as required by Rule 4(m) and the Service Order (ECF No. 10).  
22 The Service Order explained that Rule 4(m) required completion of service “within ninety (90)  
23 days from the date of this order.” McIntyre failed to complete service within 90 days or seek an  
24 extension of time in which to do so. The court ordered McIntyre to file a notice identifying which  
25 defendant(s) were served and which were not served, if any. At no time in the last year did  
26 McIntyre file a notice informing the court that Drs. Ryan, Poirier, and Hanf were not served or ask  
27 the court to approve another manner of service. The court specifically ordered Mr. McIntyre, if  
28 any service attempt was unsuccessful and he “wishes to have service again attempted on an

1 unserved Defendant(s), *then a motion must be filed with the Court identifying the unserved*  
2 *Defendant(s) and specifying a more detailed name and/or address for said Defendant(s), or*  
3 *whether some other manner of service should be attempted.*” Service Order at 2:17–20 (emphasis  
4 added). McIntyre never filed a motion as instructed by the Service Order.

5 Mr. McIntyre’s response to the 4(m) Notice does not show good cause why service has not  
6 been completed in this case. The 4(m) Notice (ECF No. 14) explicitly warned McIntyre that Drs.  
7 Ryan, Poirier, and Hanf may be dismissed from this action unless by March 8, 2018, he submitted  
8 proof showing these defendants were served before the before the 90-day deadline or provided  
9 good cause why service was not made within the time limit. His Response (ECF No. 15) indicates  
10 he incorrectly mailed the three USM-285 forms to the court months earlier rather than the USM,<sup>3</sup>  
11 and he would correct his mistake. Approximately one month later, summons were returned  
12 unexecuted for Dr. Hanf only. ECF No. 16. Notice of the summons returned unexecuted was  
13 served on McIntyre via the U.S. Postal Service, but he never filed a motion seeking an extension  
14 of time for service or requesting another manner of service. The record therefore shows that  
15 McIntyre failed to provide the USM with reliable information to serve Dr. Hanf, and he failed to  
16 provide any information at all to serve Drs. Ryan or Poirier. He then allowed his case to languish  
17 for another seven months. His inaction is not show good cause for his failure to comply with the  
18 Service Order or 4(m) Notice.

19 Furthermore, McIntyre’s failure to complete service cannot be blamed on the USM. The  
20 record shows that McIntyre failed to provide the USM with information sufficient to serve Drs.  
21 Ryan, Poirier, and Hanf. The Attorney General first informed McIntyre and the court in September  
22 2017 that it had no address information for Drs. Ryan or Poirier. *See* Notice (ECF No. 9). The  
23 Attorney General also informed McIntyre that its correspondence to Dr. Hanf was returned  
24 undeliverable. *Id.* Thus, McIntyre was on notice prior to entry of the Service Order, and the sealed  
25 submission of Dr. Hanf’s last known address, that the Attorney General was unlikely to possess

---

26 <sup>3</sup> The court’s docket does not support his assertion. Rule 79 requires the Clerk of Court to keep an official  
27 record known as the “docket.” The docket is maintained electronically and it contains a copy of all  
28 documents submitted to the clerk and then filed in legal actions or proceedings. LR IC 1-1(e). Rule 79  
requires the clerk to enter each item chronologically in the docket. There is no docket entry in November  
2017 from McIntyre.

1 sufficient information to accomplish service. After attempting service at the last known address  
2 submitted under seal, the USM returned summons unexecuted for Dr. Hanf. ECF No. 16. There  
3 is no record of a service attempt for Drs. Ryan or Poirier. The court recently inquired of the USM  
4 regarding whether McIntyre submitted USM-285 forms for Drs. Ryan or Poirier after filing his  
5 response to the 4(m) Notice. The USM reports that it never received USM-285 forms from  
6 McIntyre for Drs. Ryan or Poirier. Ultimately, it was Mr. McIntyre's responsibility to provide the  
7 USM with the information necessary to complete personal service upon these defendants. He did  
8 not do so.

9 McIntyre's current request for service waiver is not relief the court may enter. Rule 4(d)  
10 allows a plaintiff to notify a defendant that an action has been commenced and request that the  
11 defendant waive service of summons. A defendant subject to service under Rule 4(e) or (h) has a  
12 duty to avoid unnecessary expenses of serving summons. However, the court may not waive  
13 service of summons for a defendant. Without proper service to Drs. Ryan, Poirier, and Hanf, the  
14 court lacks personal jurisdiction over the defendants. *Crowley*, 734 F.3d at 974–75. McIntyre  
15 does not indicate what methods he has used to attempt to discover the defendants' residences or  
16 places of work. He merely makes a conclusory statement that he has been unable to complete  
17 service because of the confidentiality of their addresses. As explained, he has not followed the  
18 court's orders or basic instructions or demonstrated any effort to determine information to  
19 complete service. McIntyre does not indicate what assistance he seeks from this court. More  
20 importantly his request was made nine months after the expiration of the service deadline.

21 Finally, Mr. McIntyre attaches a certified mail receipt to the law firm of Alverson Taylor  
22 Mortensen and Sanders, who he claims is counsel for Naphcare. It is not clear from the complaint  
23 whether any of the three defendant doctors is or was employed by Naphcare. However, Naphcare  
24 is not a defendant since it was dismissed with prejudice in the Screening Order (ECF No. 14).  
25 Even if Naphcare was a party to this action and/or it employed one or more of the defendants, it is  
26 not ordinarily authorized to accept service for its employees. An employer is not an agent  
27 authorized by law to accept service for an employee who is a defendant in a pending legal action.<sup>4</sup>

---

28 <sup>4</sup> See, e.g., *Gottschalk*, 964 F. Supp. 2d at 1165 (service on individual defendants was defective where



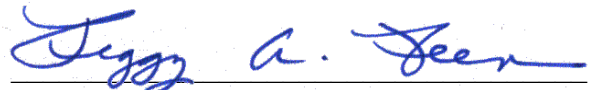
1 Furthermore, corporate defendants may not be served by certified mail under both federal and  
2 Nevada law.<sup>5</sup>

3 For the reasons explained, the court recommends that plaintiff's complaint be dismissed  
4 without prejudice. *2,164 Watches*, 366 F.3d at 772; *Walker*, 14 F.3d at 1422. Accordingly,

5 **IT IS RECOMMENDED that:**

- 6 1. Plaintiff Dwight McIntyre's case be **DISMISSED without prejudice** based on Mr.  
7 McIntyre's failure to comply with the Federal Rules of Civil Procedure and the court's  
8 orders regarding service of defendants Frank Ryan, Brian Poirier, and Ted Hanf.  
9 2. The Clerk of the Court be instructed to close this case and enter judgment.

10 Dated this 19th day of October 2018.

11   
12 PEGGY A. LEEN  
13 UNITED STATES MAGISTRATE JUDGE

14 **NOTICE**

15 This Report of Findings and Recommendation is submitted to the assigned district judge  
16 pursuant to 28 U.S.C. § 636(b)(1) and is not immediately appealable to the Court of Appeals for  
17 the Ninth Circuit. Any notice of appeal to the Ninth Circuit should not be filed until entry of the  
18 district court's judgment. *See* Fed. R. App. P. 4(a)(1). Pursuant to LR IB 3-2(a) of the Local Rules  
19 of Practice, any party wishing to object to a magistrate judge's findings and recommendations of  
20 shall file and serve *specific written objections*, together with points and authorities in support of  
21 those objections, within 14 days of the date of service. *See also* 28 U.S.C. § 636(b)(1); Fed. R.  
22 Civ. P. 6, 72. The document should be captioned "Objections to Magistrate Judge's Report of

23 \_\_\_\_\_  
24 plaintiff left a copy of the summons and complaint with a manager at the defendants' workplaces); *Warshun*  
25 *v. New York Cmty. Bancorp, Inc.*, 957 F. Supp. 2d 259, 266 (E.D.N.Y. 2013) (no employee at a bank branch  
was authorized as an agent of four bank executives to accept service on their behalf).

26 <sup>5</sup> *See, e.g., Cabrera v. Las Vegas Metro. Police Dep't*, 2:12-cv-00918-RFB-CWH, 2014 WL 6634821, at  
27 \*3 (D. Nev. Nov. 21, 2014) ("The Nevada Rules of Civil Procedure generally do not allow for service by  
mail to Nevada corporations; service must be made to the corporation's registered agent or to any officer  
of the corporation." (citing Nev. R. Civ. P. 4(d)(1)); *Miller v. MERSCORP Inc.*, No. 2:11-cv-00987-GMN-  
28 CWH, 2011 WL 6097751, at \*2 (D. Nev. Dec. 5, 2011) ("Plaintiff's service by certified mail is not  
sufficient to meet the requirements of NRS 14.020 or NRS 14.030.").

Findings and Recommendation,” and it is subject to the page limitations found in LR 7-3(b). The parties are advised that failure to file objections within the specified time may result in the district court’s acceptance of this Report of Findings and Recommendation without further review. *United States v. Reyna-Tapia*, 328 F.3d 1114, 1121 (9th Cir. 2003). In addition, failure to file timely objections to any factual determinations by a magistrate judge may be considered a waiver of a party’s right to appellate review of the findings of fact in an order or judgment entered pursuant to the recommendation. *See Martinez v. Ylst*, 951 F.2d 1153, 1156 (9th Cir. 1991); Fed. R. Civ. P. 72.